



08-19-02

2164/4

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s) Doyle et al.

Docket No.

12770US01

Serial No.

09/273,673

Filing Date

March 22, 1999

Examiner

Frantzy Poinvil

Group Art Unit

2164

Invention: **COMPUTER APPARATUS AND METHOD FOR TRADING AND CLEARING FUTURES CONTRACTS TO ACCOMMODATE A VARIABLE SENSITIVITY RELATED TO THE GENERAL LEVEL OF INTEREST RATES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0 x	\$9.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0 x	\$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-0017  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Christopher N. George  
Signature

Dated: September 18, 2002

Christopher N. George, Reg. No. 51,728  
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I certify that this document and fee is being deposited on September 18, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Christopher N. George

Signature of Person Mailing Correspondence

Christopher N. George

Typed or Printed Name of Person Mailing Correspondence

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